



**UCCS CAMPUS POLICY**

**Policy Title: Principal Investigator Eligibility on Sponsored Programs**

**Policy Number: 900-006**

**Policy Functional Area: RESEARCH**

Effective: April 6, 2006  
Approved by: Pam Shockley-Zalabak, Chancellor  
Responsible Vice Chancellor: Executive Vice Chancellor of Academic Affairs (EVCAA)  
Office of Primary Responsibility: EVCAA  
Policy Primary Contact: AVC Research, 719-255-3779  
Supersedes: May 15, 2005  
Last Reviewed/Updated: April 6, 2006  
Applies to: Faculty, Staff & Administration

Reason for Policy: The purpose of this policy is to specify who may serve as a Principal Investigator on externally sponsored programs at the University of Colorado at Colorado Springs (UCCS).

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## I. INTRODUCTION

Individuals holding tenured and tenure-track faculty positions or having the title of Research Associate, Senior Research Associate, Assistant Research Professor, Associate Research Professor, or Research Professor at the University of Colorado at Colorado Springs are eligible to serve as Principal Investigator. Furthermore, the individual is to have the necessary experience and independence to apply for and conduct his/her own program. Other individuals may be approved by the Vice Chancellor for Academic Affairs to serve as the Principal Investigator.

## II. POLICY STATEMENT

A. University of Colorado System Administrative Policy Statements (APS), "Sponsored Research Policies," May 14, 2001.

B. Procedures:

To initiate a request, a recommendation is required from the appropriate academic unit dean, or Center or Institute director. This recommendation is to include an acknowledgement that the academic unit, Center, or Institute takes full responsibility for the conduct of the project, both technically and fiscally. This recommendation is then forwarded to the Vice Chancellor for Academic Affairs, or designee, for approval. This approval is to be obtained prior to contacting the Office of Sponsored Programs for assistance in proposal preparation and/or submission.

C. Responsibility:

A Principal Investigator's qualifications are confirmed by his/her academic unit dean or Center or Institute director, where appropriate, by the academic unit dean or Center or Institute director's approval to submit a given proposal.

### III. KEY WORDS

A. Principal Investigator

### IV. RELATED POLICIES, PROCEDURES, FORMS, GUIDELINES, AND OTHER RESOURCES

- A. Administrative Policy Statements (APS) and Other Policies
- B. Procedures
- C. Forms
- D. Guidelines
- E. Other Resources (i.e. training, secondary contact information)
- F. Frequently Asked Questions (FAQs)

### V. HISTORY

Initial policy approval	May 15, 2005
Revised	April 6, 2006